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Attorney's Docket No.:



020431.0731

PATENT APPLICATION

09/771,207

GP/2163  
#2  
BT  
12-26-01

12-13-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gregory H. Slocum

Serial No.: 09/771,207

Filing Date: January 26, 2001

Group Art Unit: 2163

Title: SYSTEM AND METHOD FOR DEMAND PLANNING  
USING SEQUENTIAL FORECASTING

RECEIVED

DEC 18 2001

Technology Center 2100

Assistant Commissioner

for Patents

Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement (2 pages), PTO Form 1449 (1 page), copies of references cited, Baker Botts return post card (1 post card) and this Certificate of Mailing by Express Mail, and acknowledgement postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 10th day of December, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles  
Willie Jiles

Express Mail Receipt No. EL 759181355 US  
Attorney's Docket No.: 020431.0731

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Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of the references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first Office Action in this case. Pursuant to 37 C.F.R. § 1.97(b), Applicant believes that no fee is due. The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Attorney's Docket:  
020431.0731

PATENT  
09/771,207

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Respectfully submitted,

BAKER BOTTS L.L.P.

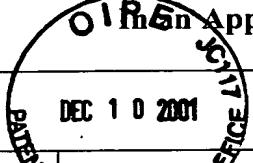
*Kennerly*

Christopher W. Kennerly  
Reg. No. 40,675  
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(214) 953-6812

Date: 12/7/01

PTO-1449 <b>Information Disclosure Citation</b> Original Application		Application No. 09/771,207		Applicant(s) Gregory H. Slocum	
		Docket No. 020431.0731	Group Art Unit 2163	Filing Date January 26, 2001	

**U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,953,707	9/14/99	Huang et al.	705	10	2/21/97
B	6,151,582	11/21/00	Huang et al.	705	10	2/24/97
C						
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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
N							
O							
P							
Q							
R							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
S		
T		
U		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.